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14
PATENT
Attorney Docket No. IPA-001A

2645
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5-7-01
2028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jimenez et al.

SERIAL NO.: 09/755,706 GROUP NO.: 2645

FILING DATE: January 5, 2001 EXAMINER: Not yet assigned

TITLE: Methods and Apparatus for an Audio Web Retrieval Telephone System

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

(2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

the requisite Statement is below, **OR**

the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

(3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

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APR 30 2001

PATENT & TRADEMARK OFFICE

TRANSMITTAL FORM

	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">Application Serial Number</td> <td style="width: 50%; padding: 2px;">09/755,706</td> </tr> <tr> <td>Filing Date</td> <td>January 5, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Jimenez</td> </tr> <tr> <td>Group Art Unit</td> <td>2645</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>IPA-001A</td> </tr> <tr> <td>BATCH NO. (after allowance)</td> <td>Not applicable</td> </tr> <tr> <td>Patent No.</td> <td>Not applicable</td> </tr> <tr> <td>Issue Date</td> <td>Not applicable</td> </tr> </table>	Application Serial Number	09/755,706	Filing Date	January 5, 2001	First Named Inventor	Jimenez	Group Art Unit	2645	Examiner Name	Not yet assigned	Attorney Docket No.	IPA-001A	BATCH NO. (after allowance)	Not applicable	Patent No.	Not applicable	Issue Date	Not applicable
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ENCLOSURES (check all that apply)

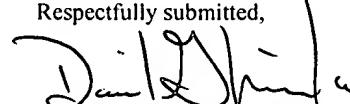
<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form 	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] 	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program
<input checked="" type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 	<input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <input type="checkbox"/> Certificate of Correction (in duplicate) 	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above 		

CORRESPONDENCE ADDRESS

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SIGNATURE BLOCK

Respectfully submitted,



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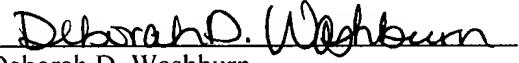
PATENT
Attorney Docket No. IPA-001A
(5215/3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jimenez et al.
SERIAL NO.: 09/755,706 GROUP NO.: 2645
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TITLE: Methods and Apparatus for an Audio Web Retrieval Telephone System

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 26 day of April, 2001.


Deborah D. Washburn

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

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1. Transmittal Form (1 page);
2. Information Disclosure Statement (2 pages);
3. Form PTO - 1449 (1 page);
4. Copies of IDS Citations (5 references); and a
5. Return receipt postcard.



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- the requisite Statement is below, AND
- the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

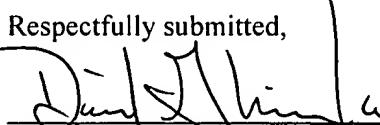
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: April 26, 2001
Reg. No. 42,898

Tel. No.: (617) 248-7954
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Respectfully submitted,


David G. Miranda

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